



H-897-02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

H. SAWAGUCHI

Serial No. 10/693,970                      Group Art Unit: 2133

Filed: October 28, 2003                      Examiner: S. Baker

For: DATA RECORDING/READBACK METHOD AND  
DATA RECORDING/READBACK DEVICE FOR THE SAME

PRELIMINARY AMENDMENT

Commissioner for Patents  
Alexandria, VA 22313

Sir:

Prior to examination, please amend the above application  
as follows.

On RE application of H. SAWAGUCHI

Serial No.: 10/693,970

Group Art Unit: 2133

Filed: October 28, 2003

Examiner: S. BAKER

For: DATA RECORDING/READBACK METHOD AND DATA RECORDING/READBACK  
DEVICE FOR THE SAMEAssistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted herewith is an Amendment in the above-identified application.

- ☐ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☐ No additional fee is required.

The fee has been calculated as shown below:

(COL. 1)	(COL. 2)	(COL. 3)
Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra
Total * 14	Minus ** 20	= 0
Indep. * 1	Minus *** 3	= 0
<input type="checkbox"/> First Presentation of Multiple Dependent Claims		

SMALL ENTITY	
Rate	Additional Fee
x 9	\$
x 42	\$
+ 140	\$
Total	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
x 18	\$ 0
x 84	\$ 0
+ 280	\$ 0
Total	\$ 0

- \* If the entry in Col. 1 is less than the entry in Col. 2, write '0' in Col. 3.
- \*\* If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 20, write '20' in this space.
- \*\*\* If the 'Highest Number Previously Paid For' IN THIS SPACE is less than 3, write '3' in this space.
- The 'Highest Number Previously Paid For' (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior Amendment or the number of claims originally filed.

- ☐ Please charge my Deposit Account No. 50-1417 in the amount of \$ \_\_\_\_\_.
- ☐ A check in the amount of \$ \_\_\_\_\_ is attached in payment of: \_\_\_\_\_.
- ☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1417.
- ☒ Any filing fees under 37 CFR 1.16 for the presentation of extra claims.
- ☒ Any patent application processing fees under 37 CFR 1.17.
- ☒ Any Extension of Time fees that are necessary, which are hereby requested if necessary.

MATTINGLY, STANGER & MALUR, P.C.  
1800 Diagonal Rd., Suite 370  
Alexandria, Virginia 22314  
(703) 684-1120

Date: April 7, 2004

By:

*John R. Mattingly*  
John R. Mattingly  
Registration No. 30,293  
Attorney for Applicant(s)